

# Global Balanced Portfolio Series

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Mindfully Designed Global Investment Portfolios



**The Ocean Park Global Balanced Portfolio Series** seeks to provide efficient, well-diversified portfolios for investors with “conservative growth” through “moderate growth” risk profiles. Its features include broad diversification between stocks and bonds, tactically managed fixed income, a broad spectrum of asset classes that includes both domestic and international equity, and low-cost domestic and global stock exposure.

### ▶ Tactically Managed Fixed Income

The fixed income portion of the Global Balanced Portfolios is managed tactically and seeks opportunities across the full fixed income spectrum, including these bond categories:

- Investment Grade
- High Yield
- Taxable
- Municipal
- Developed Markets
- Emerging Markets
- Corporate Bonds
- Sovereign Bonds
- Preferreds
- Convertibles
- Floating Rate

### ▶ Passively Managed Equity

The equity portion of the Global Balanced Portfolios is managed passively through low-cost, index-oriented funds. The equity funds seek opportunities across both domestic and international stocks providing global diversification.

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**Some Global Balanced Portfolios include exposure to small cap equity asset classes, seeking opportunities across the market capitalization spectrum.**

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*Diversification and asset allocation do not ensure a profit or guarantee against loss.*

**Our aim is to grow client wealth by focusing on the following objectives:**

**1**

Participate opportunistically in global investment uptrends.



**2**




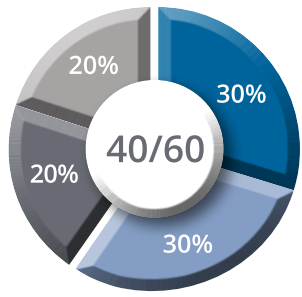

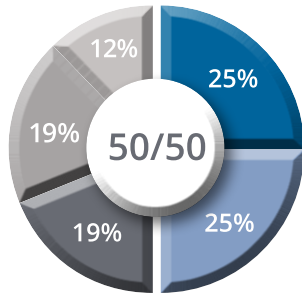

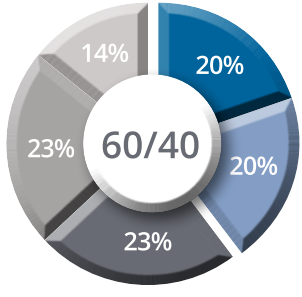

While using our tactical discipline to help limit downside risk.



Serving clients for 35+ years

# Explore the Global Balanced Series

The Ocean Park Global Balanced Portfolio Series can be ideal for investors who are focused on investment growth with the following risk profiles:

<b>CONSERVATIVE GROWTH INVESTOR</b>  Lower Risk Portfolio More bonds, less stocks	<b>MODERATE INVESTOR</b>  Balanced Risk Portfolio Equal stocks and bonds	<b>MODERATE GROWTH INVESTOR</b>  Higher Risk Portfolio More stocks, less bonds
<i>*Risk as measured by standard deviation in comparison to a 50/50 portfolio.</i>		
GLOBAL BALANCED PORTFOLIO	SUITABLE FOR	
	<p><b>The Conservative Growth Investor:</b>                      The greater emphasis on tactically managed fixed income shifts focus towards wealth preservation and opportunistic upside participation while still maintaining exposure to global stocks.</p> 	
	<p><b>The Moderate Investor:</b>                      The balance of global stocks and tactically managed fixed income seeks to provide growth during bull markets while focusing on risk mitigation during bear markets.</p> 	
	<p><b>The Moderate Growth Investor:</b>                      Greater exposure to the growth opportunities of global stocks while remaining opportunistic with tactically managed fixed income.</p> 	

- Sierra Tactical All Asset Fund (SIRRX)
- Sierra Tactical Core Income Fund (SSIRX)
- Small Cap Index Fund
- S&P 500 Index Fund
- International Stock Index Fund

*Ocean Park Global Balanced Portfolio Series holdings are subject to change and are rebalanced quarterly. Investors should review suitability with a financial professional before selecting any Ocean Park model for investing.*

# Our Tactical, Rules-Based Investment Process

## WHEN TO BUY



### Trend Following

- We evaluate buying opportunities when our quantitative decision rules identify an uptrend in the price of a security.
- An uptrend is determined by a security's price rising above both the recent low of its upper band and a secondary moving average.
- A security's bands are related to its historic volatility and are offset above and below a short-term exponential moving average.

## WHAT TO BUY



### Security Selection

- We seek to buy securities exhibiting strong risk-adjusted returns during a recent uptrend.
- Additional metrics, such as strength of the recent uptrend, historical volatility, and correlation to existing holdings, are considered in portfolio construction.
- If there are multiple securities with buy signals in the same asset class, preference is towards buying securities with better, recent risk-adjusted performance.
- For multi-asset-class portfolios, if there are a number of securities with buy signals across different asset classes, risk and diversification are also considered, with the goal of preventing one asset class from having an outsized impact on the portfolio.

## WHEN TO SELL



### Trailing Stop Discipline

- We will sell a security when our quantitative decision rules identify a downtrend in the price of a security.
- A downtrend is determined by a security's price falling below the recent high of its lower band – an event which triggers a sell signal.
- Our Trailing Stop Discipline seeks to limit the impact of a further decline in value of a security on the overall portfolio.
- Our Trailing Stop Discipline is applied across positions in our managed portfolios and monitored daily.
- When a position is sold, we may keep the proceeds in cash or buy another security.

**Trailing Stop Discipline (“Discipline”).** This proprietary Discipline has the objective of limiting the magnitude for portfolio drawdowns. The Discipline is based on a manual process that defines sell levels/signals for security holdings in decline, as measured by its price falling below the recent high of its lower band. These are not market orders. Ocean Park utilizes this Discipline directly in the management of non-affiliated holdings. Ocean Park invests in its affiliated Sierra Mutual Funds (“Funds”) and the Discipline is applied at the underlying funds level of each Fund, not on the Funds themselves. Certain strategies that contain exclusively affiliated holdings will only apply at the underlying holding level of the affiliated funds. Please see our Form ADV Part 2A for information on conflicts of interest that exist as a result of Ocean Park investing in affiliated Funds.

**Cash Exposure.** In the absence of buy signals, and/or temporarily following a sell signal, portfolios can have exposure to 100% cash equivalent and short-term investment grade fixed income. Because our affiliated Funds utilize the same discipline, the Underlying Holdings of any Fund can also have cash exposure. Cash exposure in Ocean Park portfolios therefore considers both the direct cash exposure as well as the cash exposure within the affiliated Funds.

### Investment Objectives & Strategy

The Global Balanced Portfolios are all-in-one core strategies that are best suited for investors seeking a combination of long-term growth and downside protection. To achieve broad diversification, the Global Balanced Portfolios allocate across global equities (passive, index oriented) and fixed income (tactical, rules-based) in order to achieve the investment objective.

**Past performance does not guarantee future results and there is no assurance that any investment strategy will achieve its investment objectives, generate profits, or avoid losses. All investments involve risk, including loss of principal. All of Ocean Park’s strategies are subject to various risks, including general market and operational risks, risks associated with the active/tactical management of investments, and specific risks related to the securities and investments recommended by Ocean Park. All such risks are further explained in Ocean Park’s Form ADV Firm Brochure, available on our website.**

Ocean Park Asset Management, Inc. (“Ocean Park”) is an SEC-registered investment adviser. Registration does not imply a certain level of skill or training. For information pertaining to the registration status of Ocean Park, please call (844) 727-1813 or refer to the Investment Adviser Public Disclosure website ([adviserinfo.sec.gov](http://adviserinfo.sec.gov)).